MATH 31A DISCUSSION

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More Applications of the Derivative

1. MVT and Monotonicity

1.1. Mean Value Theorem. Assume that f is continuous on [a, b] and differentiable on (a, b). Then there exists a number $c \in (a, b)$ such that

$$f'(c) = \frac{f(b) - f(a)}{b - a}$$

In particular, if f(a) = f(b), we get Rolle's Theorem.

1.2. Exercise 4.3.42. Show that $f(x) = x^3 - 2x^2 + 2x$ is an increasing function.

Solution. Notice $f'(x) = 3x^2 - 4x + 2$. What is its minimum? Find its critical points: f''(x) = 6x - 4, so $x = \frac{2}{3}$ is the critical point. So f'(x) has its minimum at $x = \frac{2}{3}$, which is $f'(\frac{2}{3}) = \frac{2}{3}$. So f'(x) > 0, thus f(x) is increasing.

1.3. Exercise 4.3.53–55. Prove that if f(0) = g(0) and $f'(x) \le g'(x)$ for $x \ge 0$, then $f(x) \leq g(x)$ for all $x \geq 0$. Prove the following:

- (a) $\sin x \le x$ for $x \ge 0$.

- (b) $\cos x \ge 1 \frac{1}{2}x^2$, (c) $\sin x \ge x \frac{1}{6}x^3$, (d) $\cos x \le 1 \frac{1}{2}x^2 + \frac{1}{24}x^4$.

Solution. Let h(x) = f(x) - g(x). Notice $h'(x) = f'(x) - g'(x) \le 0$. So h(x) is nonincreasing. Since h(0) = 0, we have that for $x \ge 0$, $h(0) \le 0$. So $f(x) - g(x) \le 0$, thus $f(x) \leq g(x)$, as desired.

Since sin x and x agree at x = 0, and the derivatives $\cos x \le 1$ as required, we apply what we got above to get the desired result. The rest follows similarly.

2. Graphs

2.1. Basics.

2.1.1. Concavity. If f'(x) is increasing (or f''(x) > 0), then f is concave up at x. If f'(x) is decreasing (or f''(x) < 0), then f is concave down at x.

2.1.2. Inflection. If f''(c) = 0 and f''(x) changes sign at x = c, then f(x) has a point of inflection at x = c.

2.1.3. Second Derivative Test. Let f be differentiable and c a critical point.

- (a) If f''(c) > 0 then f(c) is a local minimum.
- (b) If f''(c) < 0 then f(c) is a local maximum.
- (c) If f''(c) = 0 then it is inconclusive, f(c) may be a local min, max, or neither.

2.2. Exercise 4.4.24. Find the critical points of $f(x) = \sin^2 x + \cos x$, x in $[0, \pi]$, and use the Second Derivative Test to determine whether each corresponds to a local minimum or maximum.

Solution. Notice $f'(x) = 2 \sin x \cos x - \sin x$. Setting f'(x) = 0 and solving, we get $\sin x(2\cos x - 1) = 0$, so $x = 0, \pi/3, \pi$. Now $f''(x) = 2\cos^2 x - 2\sin^2 x - \cos x$. So $f''(0) = 1, f''(\frac{\pi}{3}) = -\frac{3}{2}, f''(\pi) = 3$, yielding local minima at $x = 0, \pi$ and maximum at $x = \pi/3$.

2.3. Exercise 4.4.53. If f'(c) = 0 and f(c) is neither a local min or max, must x = c be a point of inflection? This is true of most "reasonable" examples, but it is not true in general. Let

$$f(x) = \begin{cases} x^2 \sin \frac{1}{x} & \text{for } x \neq 0, \\ 0 & \text{for } x = 0. \end{cases}$$

- Use the limit definition of the derivative to show that f'(0) exists and f'(0) = 0.
- Show that f(0) is neither a local min nor max.
- Show that f'(x) changes sign infinitely often near x = 0 and conclude that f(x) does not have a point of inflection at x = 0.

Solution. Recall Exercise 3.7.92 from 10/20.

Recall $f'(x) = \lim_{h \to 0} \frac{f(x+h) - f(x)}{h}$. So by definition, $f'(0) = \lim_{h \to 0} \frac{f(h) - f(0)}{h} = \lim_{h \to 0} h \sin \frac{1}{h}$. Using Squeeze Theorem and $-|h| \le h \sin \frac{1}{h} \le |h|$, we get that f'(0) = 0. Away from x = 0, we can use the formula and get $f'(x) = 2x \sin \frac{1}{x} + x^2 \cos \frac{1}{x} \cdot (-1) \frac{1}{x^2} = 2x \sin \frac{1}{x} - \cos \frac{1}{x}$. Now $\lim_{x \to 0} f'(x)$ does not exist since $\lim_{x \to 0} 2x \sin \frac{1}{x} = 0$ by Squeeze Theorem but $\lim_{x \to 0} \cos \frac{1}{x}$ does not exist.

2.4. Bonus Question. Assume f''(x) exists and f''(x) > 0 for all x. Show that f(x) cannot be always negative.

Solution. If $f'(x) \equiv 0$, then $f''(x) \equiv 0$, a contradiction. So there exists b such that $f'(b) \neq 0$. Consider the tangent line at x = b to f(x). It is given by the equation y = f'(b)(x-b) + f(b). Consider g(x) = f(x) - f'(b)(x-b) - f(b). Notice that g'(x) = f'(x) - f'(b) and g''(x) = f''(x). So g(b) = g'(b) = 0, and g''(x) > 0 for all x. Hence g'(x) is increasing. In particular, g'(x) < 0 for x < b and g'(x) > 0 for x > b. If x > b, then by MVT, we get

$$\frac{g(x) - g(b)}{x - b} = g'(c)$$

for some c in the interval (b, x). In other words, since c > b, we have that g'(c) > 0and x - b > 0, hence g(x) - g(b) > 0. Similarly, if x < b, we get g'(c) < 0, x - b < 0, so g(x) - g(b) > 0 as well. We thus conclude $g(x) \ge g(b)$ for all x. So $f(x) \ge f'(b)(x - b) + f(b)$ for all x. Since $f'(b) \ne 0$, there exists x far enough from the origin such that f'(b)(x - b) + f(b) > 0, as desired. \Box